# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against: )	
PHILLIP GILMORE WRIGHT, M.D. )	Case No. 800-2016-024002
Physician's and Surgeon's ) Certificate No. G 17389 )	
Respondent   )	

### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on February 8, 2019.

IT IS SO ORDERED: January 10, 2019.

MEDICAL BOARD OF CALIFORNIA

Kristina D. Lawson, J.D., Chair

Panel B

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1 2 3 4 5 6	XAVIER BECERRA Attorney General of California MARY CAIN-SIMON Supervising Deputy Attorney General GREG W. CHAMBERS Deputy Attorney General State Bar No. 237509 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 510-3382 Facsimile: (415) 703-5480 Attorneys for Complainant		
8	DETAIL	T. ADVICE	
9	BEFORE THE MEDICAL BOARD OF CALIFORNIA		
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
11 12	In the Matter of the Accusation Against:	Case No. 800-2016-024002	
13	PHILLIP GILMORE WRIGHT, M.D.	OAH No. 2018081068	
14	8060 Surrey lane Oakland, CA 94605	STIPULATED SETTLEMENT AND	
15	Physician's and Surgeon's Certificate No. G 17389	DISCIPLINARY ORDER	
16	Respondent.		
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18			
19	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-		
20	entitled proceedings that the following matters are true:		
21	<u>PARTIES</u>		
22	Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board		
23	of California (Board). She brought this action solely in her official capacity and is represented i		
24	this matter by Xavier Becerra, Attorney General of the State of California, by Greg W. Chamber		
25	Deputy Attorney General.		
26	2. Respondent Phillip Gilmore Wright, I	M.D. (Respondent) is represented in this	
27	proceeding by attorney Robert F. Hahn, whose address is: 2550 Ninth Street, Suite 101		
28	Berkeley, CA 94710-2551		

3. On or about September 24, 1969, the Board issued Physician's and Surgeon's Certificate No. G 17389 to Respondent. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2016-024002, and will expire on December 31, 2018, unless renewed.

### **JURISDICTION**

- 4. Accusation No. 800-2016-024002 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on April 10, 2017. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2016-024002 is attached as exhibit A and incorporated herein by reference.

### ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2016-024002. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

### **CULPABILITY**

9. Respondent admits the truth of each and every charge and allegation in Accusation No. 800-2016-024002.

10. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

### **CONTINGENCY**

- 11. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

# **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 17389 issued to Respondent is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

1. <u>EDUCATION COURSE</u>. Within sixty (60) calendar days of the effective date of this Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than forty (40) hours per year, for each year of probation. The educational program(s) or course(s) shall be

aimed at the supervision of mid-level practitioners and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for sixty-five (65) hours of CME of which forty (40) hours were in satisfaction of this condition.

2. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within sixty (60) calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than fifteen (15) calendar days after successfully completing the program or not later than fifteen (15) calendar days after the effective date of the Decision, whichever is later.

3. <u>MONITORING - PRACTICE/BILLING</u>. Within thirty (30) calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval as a practice monitor(s), the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American

Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision(s) and Accusation(s), and a proposed monitoring plan. Within fifteen (15) calendar days of receipt of the Decision(s), Accusation(s), and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision(s) and Accusation(s), fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

Within sixty (60) calendar days of the effective date of this Decision, and continuing throughout probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If Respondent fails to obtain approval of a monitor within sixty (60) calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a monitor is approved to provide monitoring responsibility.

The monitor(s) shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine and whether Respondent is practicing medicine safely, billing appropriately or both. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within ten (10)

calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within five (5) calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within fifteen (15) calendar days. If Respondent fails to obtain approval of a replacement monitor within sixty (60) calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

In lieu of a monitor, Respondent may participate in a professional enhancement program approved in advance by the Board or its designee that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

4. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within fifteen (15) calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

5. SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE

NURSES. Respondent is prohibited from supervising physician assistants and advanced practice
nurses for the first two (2) years of probation. After that time, Respondent may supervise
physician assistants and advanced practice nurses. Respondent's practice monitor's quarterly
reports to the Medical Board shall specifically address Respondent's supervision of physician

assistants and advanced practice nurses, and shall indicate whether Respondent is in compliance with the applicable standard of care.

- 6. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 7. <u>QUARTERLY DECLARATIONS</u>. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than ten (10) calendar days after the end of the preceding quarter.

### 8. <u>GENERAL PROBATION REQUIREMENTS.</u>

### Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

### Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

### Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

### License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

### Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any

areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice, Respondent shall notify the Board or its designee in writing thirty (30) calendar days prior to the dates of departure and return.

- 9. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 10. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within fifteen (15) calendar days of any periods of non-practice lasting more than thirty (30) calendar days and within fifteen (15) calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least forty (40) hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Board's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; and Quarterly Declarations.

- 11. COMPLETION OF PROBATION. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than one-hundred twenty (120) calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 12. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 13. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
  Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
  the terms and conditions of probation, Respondent may request to surrender his or her license.
  The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
  determining whether or not to grant the request, or to take any other action deemed appropriate
  and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent
  shall within fifteen (15) calendar days deliver Respondent's wallet and wall certificate to the
  Board or its designee and Respondent shall no longer practice medicine. Respondent will no
  longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical
  license, the application shall be treated as a petition for reinstatement of a revoked certificate.
- 14. <u>PROBATION MONITORING COSTS</u>. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which

may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of 1 2 California and delivered to the Board or its designee no later than January 31 of each calendar 3 year. ACCEPTANCE 4 I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully 5 б discussed it with my attorney, Robert F. Hahn. I understand the stipulation and the effect it will 7 have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the 8 Decision and Order of the Medical Board of California. 9 10 11 PHILLY GILMORE WEIGHT, M.D. Respondent 12 13 14 I have read and fully discussed with Respondent Phillip Gilmore Wright, M.D. the terms 15 and conditions and other matters contained in the above Stipulated Settlement and Disciplinary 16 Order. I approve its form and content. 17 DATED: 12-5-18 18 19 Attorney for Respondent 20 111 21 /// 22 /// 23 /// 24 ]]] 25 /// 26 /// 27 /// 28 /// 10 STIPULATED SETTLEMENT (800-2016-024002)

### **ENDORSEMENT**

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California.

Dated: 12/5/2018

Respectfully submitted,

XAVIER BECERRA Attorney General of California MARY CAIN-SIMON Supervising Deputy Attorney General

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GREG W. CHAMBERS Deputy Attorney General Attorneys for Complainant

# Exhibit A

Accusation No. 800-2016-024002

FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO APRIL 10 1 XAVIER BECERRA BY: K. VOON9 Attorney General of California 2 JANE ZACK SIMON Supervising Deputy Attorney General 3 **CAROLYNE EVANS** Deputy Attorney General 4 State Bar No. 289206 455 Golden Gate Avenue, Suite 11000 5 San Francisco, CA 94102-7004 Telephone: (415) 703-1211 6 Facsimile: (415) 703-5480 Attorneys for Complainant 7 BEFORE THE 8 MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS 9 STATE OF CALIFORNIA 10 11 12 In the Matter of the Accusation Against: Case No. 800-2016-024002 13 Phillip Gilmore Wright, M.D. ACCUSATION 14 8060 Surrey lane Oakland, CA 94605 15 Physician's and Surgeon's Certificate 16 No. G 17389. 17 Respondent. 18 19 20 Complainant alleges: 21' **PARTIES** 22 1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official 23 capacity as the Executive Director of the Medical Board of California, Department of Consumer 24 Affairs (Board). 25 2. On or about September 24, 1969, the Medical Board issued Physician's and Surgeon's 26 Certificate Number G 17389 to Phillip Gilmore Wright, M.D. (Respondent). The Physician's and 27 Surgeon's Certificate was in full force and effect at all times relevant to the charges brought herein 28 and will expire on December 31, 2018, unless renewed. 1 (PHILLIP GILMORE WRIGHT, M.D.) ACCUSATION NO. 800-2016-024002

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- **JURISDICTION** 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated. 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper. Section 2234 of the Code, states in pertinent part: 5. "The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following: "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter. "(b) Gross negligence.
  - "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or
  - omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
    - "(d) Incompetence.
    - "(f) Any action or conduct which would have warranted the denial of a certificate."
    - - 6. Section 3501 of the Code states in pertinent part:
        - "(1) 'Board' means the Physician Assistant Board.
  - "(4) 'Physician assistant' means a person who meets the requirements of this chapter and is licensed by the board.
  - "(5) 'Supervising physician' means a physician and surgeon licensed by the Medical Board of California or by the Osteopathic Medical Board of California who supervises one or

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more physician assistants, who possesses a current valid license to practice medicine, and who is not currently on disciplinary probation for improper use of a physician assistant.

- "(6) 'Supervision' means that a licensed physician and surgeon oversees the activities of, and accepts responsibility for, the medical services rendered by a physician assistant.
- "(7) 'Regulations' means the rules and regulations as set forth in Chapter 13.8 (commencing with Section 1399.500) of Title 16 of the California Code of Regulations.

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- "(10) 'Delegation of services agreement' means the writing that delegates to a physician assistant from a supervising physician the medical services the physician assistant is authorized to perform consistent with subdivision (a) of Section 1399.540 of Title 16 of the California Code of Regulations.
- "(11) 'Other specified medical services' means tests or examinations performed or ordered by a physician assistant practicing in compliance with this chapter or regulations of the Medical Board of California promulgated under this chapter.
- "(b) A physician assistant acts as an agent of the supervising physician when performing any activity authorized by this chapter or regulations adopted under this chapter."
  - 7. Section 3502 of the Code states in pertinent part:
- "(a) Notwithstanding any other provision of law, a physician assistant may perform those medical services as set forth by the regulations adopted under this chapter when the services are rendered under the supervision of a licensed physician and surgeon who is not subject to a disciplinary condition imposed by the Medical Board of California prohibiting that supervision or prohibiting the employment of a physician assistant.

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"The supervising physician and surgeon shall be physically available to the physician assistant for consultation when such assistance is rendered. ...

"(c)(1) A physician assistant and his or her supervising physician and surgeon shall establish written guidelines for the adequate supervision of the physician assistant. This requirement may be satisfied by the supervising physician and surgeon adopting protocols for

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some or all of the tasks performed by the physician assistant. The protocols adopted pursuant to this subdivision shall comply with the following requirements:

- "(A) A protocol governing diagnosis and management shall, at a minimum, include the presence or absence of symptoms, signs, and other data necessary to establish a diagnosis or assessment, any appropriate tests or studies to order, drugs to recommend to the patient, and education to be provided to the patient.
- "(B) A protocol governing procedures shall set forth the information to be provided to the patient, the nature of the consent to be obtained from the patient, the preparation and technique of the procedure, and the follow up care.
- "(C) Protocols shall be developed by the supervising physician and surgeon or adopted from, or referenced to, texts or other sources.
- "(D) Protocols shall be signed and dated by the supervising physician and surgeon and the physician assistant.
- "(2)(A)(i) The supervising physician and surgeon shall review, countersign, and date a sample consisting of, at a minimum, 5 percent of the medical records of patients treated by the physician assistant functioning under the protocols within 30 days of the date of treatment by the physician assistant.
- "(B)... The supervising physician and surgeon shall select for review those cases that by diagnosis, problem, treatment, or procedure represent, in his or her judgment, the most significant risk to the patient.
- "(3) Notwithstanding any other provision of law, the Medical Board of California or board may establish other alternative mechanisms for the adequate supervision of the physician assistant.

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- 8. Section 3502.1 of the Code states in pertinent part:
- "(a) In addition to the services authorized in the regulations adopted by the Medical Board of California, and except as prohibited by Section 3502, while under the supervision of a licensed physician and surgeon or physicians and surgeons authorized by law to supervise a

physician assistant, a physician assistant may administer or provide medication to a patient, or transmit orally, or in writing on a patient's record or in a drug order, an order to a person who may lawfully furnish the medication or medical device pursuant to subdivisions (c) and (d).

- "(1) A supervising physician and surgeon who delegates authority to issue a drug order to a physician assistant may limit this authority by specifying the manner in which the physician assistant may issue delegated prescriptions.
- "(2) Each supervising physician and surgeon who delegates the authority to issue a drug order to a physician assistant shall first prepare and adopt, or adopt, a written, practice specific, formulary and protocols that specify all criteria for the use of a particular drug or device, and any contraindications for the selection. Protocols for Schedule II controlled substances shall address the diagnosis of illness, injury, or condition for which the Schedule II controlled substance is being administered, provided, or issued. The drugs listed in the protocols shall constitute the formulary and shall include only drugs that are appropriate for use in the type of practice engaged in by the supervising physician and surgeon. When issuing a drug order, the physician assistant is acting on behalf of and as an agent for a supervising physician and surgeon.
- "(b) 'Drug order,' for purposes of this section, means an order for medication that is dispensed to or for a patient, issued and signed by a physician assistant acting as an individual practitioner within the meaning of Section 1306.02 of Title 21 of the Code of Federal Regulations. Notwithstanding any other provision of law, (1) a drug order issued pursuant to this section shall be treated in the same manner as a prescription or order of the supervising physician, (2) all references to 'prescription' in this code and the Health and Safety Code shall include drug orders issued by physician assistants pursuant to authority granted by their supervising physicians and surgeons, and (3) the signature of a physician assistant on a drug order shall be deemed to be the signature of a prescriber for purposes of this code and the Health and Safety Code.
- "(c) A drug order for any patient cared for by the physician assistant that is issued by the physician assistant shall either be based on the protocols described in subdivision (a) or shall be approved by the supervising physician and surgeon before it is filled or carried out.

- "(1) A physician assistant shall not administer or provide a drug or issue a drug order for a drug other than for a drug listed in the formulary without advance approval from a supervising physician and surgeon for the particular patient. At the direction and under the supervision of a physician and surgeon, a physician assistant may hand to a patient of the supervising physician and surgeon a properly labeled prescription drug prepackaged by a physician and surgeon, manufacturer as defined in the Pharmacy Law, or a pharmacist.
- "(2) A physician assistant shall not administer, provide, or issue a drug order to a patient for Schedule II through Schedule V controlled substances without advance approval by a supervising physician and surgeon for that particular patient unless the physician assistant has completed an education course that covers controlled substances and that meets standards, including pharmacological content, approved by the board. The education course shall be provided either by an accredited continuing education provider or by an approved physician assistant training program. If the physician assistant will administer, provide, or issue a drug order for Schedule II controlled substances, the course shall contain a minimum of three hours exclusively on Schedule II controlled substances. Completion of the requirements set forth in this paragraph shall be verified and documented in the manner established by the board prior to the physician assistant's use of a registration number issued by the United States Drug Enforcement Administration to the physician assistant to administer, provide, or issue a drug order to a patient for a controlled substance without advance approval by a supervising physician and surgeon for that particular patient.
- "(3) Any drug order issued by a physician assistant shall be subject to a reasonable quantitative limitation consistent with customary medical practice in the supervising physician and surgeon's practice.
- "(d) A written drug order issued pursuant to subdivision (a), except a written drug order in a patient's medical record in a health facility or medical practice, shall contain the printed name, address, and telephone number of the supervising physician and surgeon, the printed or stamped name and license number of the physician assistant, and the signature of the physician assistant. Further, a written drug order for a controlled substance, except a written drug order in a

patient's medical record in a health facility or a medical practice, shall include the federal controlled substances registration number of the physician assistant and shall otherwise comply with the provisions of Section 11162.1 of the Health and Safety Code. Except as otherwise required for written drug orders for controlled substances under Section 11162.1 of the Health and Safety Code, the requirements of this subdivision may be met through stamping or otherwise imprinting on the supervising physician and surgeon's prescription blank to show the name, license number, and if applicable, the federal controlled substances registration number of the physician assistant, and shall be signed by the physician assistant. When using a drug order, the physician assistant is acting on behalf of and as the agent of a supervising physician and surgeon.

"(e)(1) The medical record of any patient cared for by a physician assistant for whom the physician assistant's Schedule II drug order has been issued or carried out shall be reviewed and countersigned and dated by a supervising physician and surgeon within seven days.

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- 9. California Code of Regulations, title 16, section 1399.540, states in pertinent part:
- "(a) A physician assistant may only provide those medical services which he or she is competent to perform and which are consistent with the physician assistant's education, training, and experience, and which are delegated in writing by a supervising physician who is responsible for the patients cared for by that physician assistant.
- "(b) The writing which delegates the medical services shall be known as a delegation of services agreement. A delegation of services agreement shall be signed and dated by the physician assistant and each supervising physician. A delegation of services agreement may be signed by more than one supervising physician only if the same medical services have been delegated by each supervising physician. A physician assistant may provide medical services pursuant to more than one delegation of services agreement.
- "(c) The board or Medical Board of California or their representative may require proof or demonstration of competence from any physician assistant for any tasks, procedures or management he or she is performing.

"(d) A physician assistant shall consult with a physician regarding any task,
procedure or diagnostic problem which the physician assistant determines exceeds his or her leve
of competence or shall refer such cases to a physician."

### 10. California Code of Regulations, title 16, section 1399.541, states:

"Because physician assistant practice is directed by a supervising physician, and a physician assistant acts as an agent for that physician, the orders given and tasks performed by a physician assistant shall be considered the same as if they had been given and performed by the supervising physician. Unless otherwise specified in these regulations or in the delegation or protocols, these orders may be initiated without the prior patient specific order of the supervising physician.

"In any setting, including for example, any licensed health facility, out-patient settings, patients' residences, residential facilities, and hospices, as applicable, a physician assistant may, pursuant to a delegation and protocols where present:

- "(a) Take a patient history; perform a physical examination and make an assessment and diagnosis therefrom; initiate, review and revise treatment and therapy plans including plans for those services described in Section 1399.541(b) through Section 1399.541(i) inclusive; and record and present pertinent data in a manner meaningful to the physician.
- "(b) Order or transmit an order for x-ray, other studies, therapeutic diets, physical therapy, occupational therapy, respiratory therapy, and nursing services.
- "(c) Order, transmit an order for, perform, or assist in the performance of laboratory procedures, screening procedures and therapeutic procedures.
- "(d) Recognize and evaluate situations which call for immediate attention of a physician and institute, when necessary, treatment procedures essential for the life of the patient.
- "(e) Instruct and counsel patients regarding matters pertaining to their physical and mental health. Counseling may include topics such as medications, diets, social habits, family planning, normal growth and development, aging, and understanding of and long-term management of their diseases.

- "(f) Initiate arrangements for admissions, complete forms and charts pertinent to the patient's medical record, and provide services to patients requiring continuing care, including patients at home.
- "(g) Initiate and facilitate the referral of patients to the appropriate health facilities, agencies, and resources of the community.
- "(h) Administer or provide medication to a patient, or issue or transmit drug orders orally or in writing in accordance with the provisions of subdivisions (a)-(f), inclusive, of Section 3502.1 of the Code.

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- "(2) A physician assistant may also act as first or second assistant in surgery under the supervision of a supervising physician. The physician assistant may so act without the personal presence of the supervising physician if the supervising physician is immediately available to the physician assistant. "Immediately available" means the physician is physically accessible and able to return to the patient, without any delay, upon the request of the physician assistant to address any situation requiring the supervising physician's services."
  - 11. California Code of Regulations, title 16, section 1399.545, states:
- "(a) A supervising physician shall be available in person or by electronic communication at all times when the physician assistant is caring for patients.
- "(b) A supervising physician shall delegate to a physician assistant only those tasks and procedures consistent with the supervising physician's specialty or usual and customary practice and with the patient's health and condition.
- "(c) A supervising physician shall observe or review evidence of the physician assistant's performance of all tasks and procedures to be delegated to the physician assistant until assured of competency.
- "(d) The physician assistant and the supervising physician shall establish in writing transport and back-up procedures for the immediate care of patients who are in need of emergency care beyond the physician assistant's scope of practice for such times when a supervising physician is not on the premises.

- "(e) A physician assistant and his or her supervising physician shall establish in writing guidelines for the adequate supervision of the physician assistant which shall include one or more of the following mechanisms:
- "(1) Examination of the patient by a supervising physician the same day as care is given by the physician assistant;
- "(2) Countersignature and dating of all medical records written by the physician assistant within thirty (30) days that the care was given by the physician assistant;
- "(3) The supervising physician may adopt protocols to govern the performance of a physician assistant for some or all tasks. The minimum content for a protocol governing diagnosis and management as referred to in this section shall include the presence or absence of symptoms, signs, and other data necessary to establish a diagnosis or assessment, any appropriate tests or studies to order, drugs to recommend to the patient, and education to be given the patient. For protocols governing procedures, the protocol shall state the information to be given the patient, the nature of the consent to be obtained from the patient, the preparation and technique of the procedure, and the follow-up care. Protocols shall be developed by the physician, adopted from, or referenced to, texts or other sources. Protocols shall be signed and dated by the supervising physician and the physician assistant. The supervising physician shall review, countersign, and date a minimum of 5% sample of medical records of patients treated by the physician assistant functioning under these protocols within thirty (30) days. The physician shall select for review those cases which by diagnosis, problem, treatment or procedure represent, in his or her judgment, the most significant risk to the patient;
  - "(4) Other mechanisms approved in advance by the board.
- "(f) The supervising physician has continuing responsibility to follow the progress of the patient and to make sure that the physician assistant does not function autonomously. The supervising physician shall be responsible for all medical services provided by a physician assistant under his or her supervision."

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### BACKGROUND

- During the relevant time period, Respondent was a board certified general surgeon. 12. In January of 2013, Bernard Williams (Mr. Williams), a physician assistant<sup>1</sup>, contacted Respondent and asked if he would act as his supervising physician. Respondent and Mr. Williams had previously worked together at a county hospital many years earlier. Mr. Williams told Respondent that he had been providing medical care to detox patients at a drug rehabilitation center ("Drug Rehab") under the former supervision of a physician, who had recently left Drug Rehab. Mr. Williams wanted to continue providing care to detox patients at Drug Rehab but needed to have a supervising physician. Although Respondent had never been trained in addiction medicine or been board certified in addiction medicine and was no longer treating patients in his current practice, he agreed to act as a supervising physician and supervise Mr. Williams' care of detox patients at Drug Rehab.
- On or about March 13, 2013, Respondent began "supervising" Mr. Williams. 13. Respondent and Mr. Williams did not have a delegation of services agreement nor did they establish any written guidelines for the adequate supervision of a physician assistant. Respondent and Mr. Williams did not establish protocols for any of the tasks to be performed by Mr. Williams. Respondent and Mr. Williams did not have any written protocols governing the diagnosis and management of patients. Additionally, although Respondent knew that Mr. Williams was writing controlled substance prescriptions, Respondent and Mr. Williams did not have a written controlled substance prescribing protocol or formulary.
- 14. As part of Respondent's arrangement with Mr. Williams, Respondent allowed Mr. Williams to independently examine, evaluate, and diagnose patients at Drug Rehab. Respondent was not present for these visits and did not examine the patients himself. Mr. Williams saw over four hundred (400) patients while under the supervision of Respondent. Respondent did not

<sup>&</sup>lt;sup>1</sup> On January 21, 2017, the California Physician Assistant Board filed Accusation No. 950-2014-000286 against Bernard Williams for unprofessional conduct and/or gross negligence, unlicensed practice of medicine, prescribing controlled substances without adequate supervision, dishonesty, procuring substances by fraud, unlawfully representing himself as a physician, and for creating false medical records.

question any of Mr. Williams' decisions and deferred to him in terms of the care that was provided to the detox patients.

- 15. For the first three months of the supervision, Respondent visited Drug Rehab about once a week to meet with Mr. Williams. Most of the time was spent "settling accounts" between Respondent and Mr. Williams as they had agreed to share fees. After the first few months, Respondent reduced his visits and only visited Drug Rehab about once a month. As part of his supervision, Respondent rarely reviewed any of the detox patients' medical charts. Respondent only reviewed a few select charts when he was at Drug Rehab for his weekly or monthly meetings with Mr. Williams.
- Respondent also permitted Mr. Williams to establish detox protocols and treatment plans for the detox patients and to prescribe controlled substances to the patients. In fact, Respondent renewed his DEA license for the sole purpose of allowing Mr. Williams to continue prescribing controlled substances to the detox patients. However, Respondent did not review any CURES reports with respect to the detox patients or take any other steps to monitor the controlled substances written by Mr. Williams.<sup>2</sup>

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<sup>&</sup>lt;sup>2</sup> The CURES is a program operated by the California Department of Justice (DOJ) to assist health care practitioners in their efforts to ensure appropriate prescribing of controlled substances, and law enforcement and regulatory agencies in their efforts to control diversion and abuse of controlled substances. (Health & Saf. Code, § 11165.) California law requires dispensing pharmacies to report to the DOJ the dispensing of Schedule II, III and IV controlled substances as soon as reasonably possible after the prescriptions are filled. (Health & Saf. Code, § 11165, subd. (d).) The history of controlled substances dispensed to a specific patient based on the data contained in the CURES is available to a health care practitioner who is treating that patient. (Health & Saf. Code, § 11165.1, subd. (a).)

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### **CAUSE FOR DISCIPLINE**

# (Unprofessional Conduct/Failure to Appropriately Supervise Physician Assistant/Gross Negligence/Repeated Negligent Acts)

### Patient D.G.<sup>3</sup>

Between January 2014 and June 2014, Mr. Williams wrote prescriptions for controlled substances, including Norco<sup>4</sup>, Lorazepam<sup>5</sup>, Ambien<sup>6</sup>, Temazepam (Restoril)<sup>7</sup> to Patient D.G. In the process of issuing these prescriptions, Mr. Williams falsely signed Respondent's name on the prescription slips. Respondent did not discuss these prescriptions with Mr. Williams or give his approval to him prior to Mr. Williams writing these prescriptions. D.G's medical records do not contain any documentation regarding a discussion between Respondent and Mr. Williams regarding Patient D.G's prescriptions or any other aspects of the "care" provided by Mr. Williams. Additionally, Respondent failed to countersign the medical records within seven (7) days for the Schedule II drugs that were prescribed to Patient D.G.

### Patient N.W.

Between August 2013 and November 2013, Mr. Williams wrote prescriptions for controlled substances, including Norco and Lorazepam to Patient N.W. In the process of issuing the prescriptions, Mr. Williams falsely signed the name of Respondent on the prescription slips, without Respondent's knowledge or authority. Respondent and Mr. Williams did not have any controlled substance protocols in place. Respondent did not discuss these prescriptions with Mr. Williams or give his approval prior to Mr. Williams writing these prescriptions. N.W.'s medical

<sup>&</sup>lt;sup>3</sup> The patients will be identified by their initials to protect their identity and privacy. The Respondent may learn the patients' information through the discovery process.

<sup>&</sup>lt;sup>4</sup> Norco is a dangerous drug as defined in section 4022 and a schedule II controlled substance.

<sup>&</sup>lt;sup>5</sup> Lorazepam is a dangerous drug as defined in section 4022 and a schedule IV controlled

substance.

6 Ambien is a dangerous drug as defined in section 4022 and a schedule IV controlled substance.

<sup>&</sup>lt;sup>7</sup>Temazepam is a dangerous drug as defined in section 4022 and a schedule IV controlled substance.

records do not contain any documentation regarding a discussion between Respondent and Mr. Williams regarding any aspects of the "care" provided by Mr. Williams. Additionally, Respondent failed to countersign the medical records within seven (7) days for the Schedule II drugs that were prescribed to Patient N.W.

### Patient F.P.

19. Between October 2013 and November 4, 2013, Mr. Williams wrote prescriptions for controlled substances, including Norco, Lorazepam, and Halcion (Triazolam). 

In the process of issuing the prescriptions, Mr. Williams falsely signed the name of Respondent on the prescription slips, without Respondent's knowledge or authority. Respondent and Mr. Williams did not have any controlled substance protocols in place. Respondent did not discuss these prescriptions with Mr. Williams or give his approval prior to Mr. Williams writing the prescriptions. F.P's medical records do not contain any documentation regarding a discussion between Respondent and Mr. Williams regarding any aspects of the "care" provided by Mr. Williams. Additionally, Respondent failed to countersign the medical records within seven (7) days for the Schedule II drugs that were prescribed to Patient F.P.

### Patient T.H

20. In June 2014, Mr. Williams wrote prescriptions for controlled substances, including Lorazepam, Ambien, Halcion (Triazolam), and Buprenorphine<sup>9</sup> to Patient T.H. In the process of issuing the prescriptions, Mr. Williams falsely signed the name of Respondent on the prescription slips, without Respondent's knowledge or authority. Respondent and Mr. Williams did not have any controlled substance protocols in place. Respondent did not discuss these prescriptions with Mr. Williams or give his approval prior to Mr. Williams writing the prescriptions. T.H.'s medical records do not contain any documentation regarding a discussion between Respondent and Mr. Williams regarding Patient T.H.'s prescriptions or any other aspects of the "care" provided by Mr.

<sup>&</sup>lt;sup>8</sup> Triazolam is a dangerous drug as defined in section 4022 and a Schedule IV controlled substance.

<sup>&</sup>lt;sup>9</sup> Buprenorphine is a dangerous drug as defined in section 4022 and a Schedule IV controlled substance.

Patient T.F.

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Between August 2013 and May 2014, Mr. Williams wrote prescriptions for controlled substances, including Norco, Lorazepam, Clonazepam<sup>10</sup>, Halcion (Triazolam), and Valium<sup>11</sup> to Patient T.F. In the process of issuing the prescriptions, Mr. Williams falsely signed the name of Respondent on the prescription slips, without Respondent's knowledge or authority. Respondent and Mr. Williams did not have any controlled substance protocols in place. Respondent did not discuss these prescriptions with Mr. Williams or give his approval prior to Mr. Williams writing the prescriptions. T.F.'s medical records do not contain any documentation regarding a discussion between Respondent and Mr. Williams regarding any aspects of the "care" provided by Mr. Williams. Additionally, Respondent failed to countersign the medical records within seven (7) days for the Schedule II drugs that were prescribed to Patient T.F.

#### Patient J.W.

In June 2014, Mr. Williams wrote prescriptions for controlled substances, including Norco, Lorazepam, and Valium to Patient J.W. In the process of issuing the prescriptions, Mr. Williams falsely signed the name of Respondent on the prescription slips, without Respondent's knowledge or authority. Respondent and Mr. Williams did not have any controlled substance protocols in place. Respondent did not discuss these prescriptions with Mr. Williams or give his approval prior to Mr. Williams writing the prescriptions. J.W.'s medical records do not contain any documentation regarding a discussion between Respondent and Mr. Williams regarding any aspects of the "care" provided by Mr. Williams. Additionally, Respondent failed to countersign the medical records within seven (7) days for the Schedule II drugs that were prescribed to Patient J.W.

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controlled substance.

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 $^{10}$  Clonazepam is a dangerous drug as defined in section 4022 and a Schedule IV

<sup>&</sup>lt;sup>11</sup> Valium is a dangerous drug as defined in section 4022 and a Schedule IV controlled substance.

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	23. Respondent is guilty of unprofessional conduct and/or gross negligence and/or			
	repeated negligent acts, and/or incompetence in that he failed to appropriately supervise Mr.			
	Williams' care and treatment of Patients D.G., N.W., F.P., T.H., T.F., and J.W. and because he			
	allowed Mr. Williams to treat patients, including prescribing dangerous drugs and controlled			
	substances without any meaningful supervision or oversight. Respondent purported to supervise			
	Mr. Williams without adhering to the statutory and regulatory requirements pertaining to the			
	supervision of physician assistants. Additionally, Respondent lacked the relevant knowledge,			
	skills, or experience to appropriately supervise Mr. Williams. Accordingly, Respondent is subject			
	to disciplinary action under Code Sections 2234, 2234 (a), (b), and (c); 3501, 3502, 3502.1 and			
	California Code of Regulations Sections 1399.541 and 1399.545.			
	<u>PRAYER</u>			
	WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,			
	and that following the hearing, the Medical Board of California issue a decision:			
	1. Revoking or suspending Physician's and Surgeon's Certificate Number G 17389,			
	issued to Phillip Gilmore Wright, M.D.;			
	2. Revoking, suspending or denying approval of Phillip Gilmore Wright, M.D.'s			
	authority to supervise physician assistants and advanced practice nurses, pursuant to section 3527			
	of the Code;			
	3. Ordering Phillip Gilmore Wright, M.D., if placed on probation, to pay the Board the			
	costs of probation monitoring; and			
	4. Taking such other and further action as deemed necessary and proper.			
	DATED: April 10, 2017 KIMBERLY KIRCHMEYER			
	Executive Director  Medical Board of California			
	Department of Consumer Affairs State of California			

Complainant